Multidimensional Binary Vector Assignment problem: standard, structural and above guarantee parameterizations

Rémi Watrigant<sup>1</sup>

joint work with Marin Bougeret<sup>2</sup>, Guillerme Duvillié<sup>2</sup>, Rodolphe Giroudeau<sup>2</sup>

<sup>1</sup> Hong Kong Polytechnic University, Hong Kong <sup>2</sup> LIRMM, Montpellier, France

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3 Above guarantee parameterization

#### 4 Lower bounds



Yield maximization in wafer-to-wafer 3D chip integration.

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Previous results:

• NP-hard even when m = 3 (reduction from 3D matching)

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  - FPT parameterized by p
  - ▶ W[1]-hard for standard parameter (maximization version)

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A problem is **FPT** if there is an algorithm solving any instance  $\mathcal{I}$  in time  $O(f(\kappa(\mathcal{I})) poly(|\mathcal{I}|))$ 

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- Exponential Time Hypothesis: we suppose that 3-SAT cannot be solved in time O<sup>\*</sup>(2<sup>o(n)</sup>) (n = number of variables)

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 ⇒ we can arbitrarily form a full-good stack
 remove it, and continue until n ≤ k

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- p dimensions
- if there is a component with good dies everywhere
- $\Rightarrow$  any solution will also have this good die

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- $\Rightarrow$  the cost of any solution will be at least p

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#### Theorem

• From any instance, we can produce in polynomial time an equivalent one of size at most  $O(k^2m) \implies$  kernel of size  $O(k^2m)$ 

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• No kernel polynomial in p even for m = 3 (unless  $NP \subseteq coNP/poly$ )

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We just proved that we can suppose  $n, p \le k \Rightarrow k$  is a too large parameter <u>Idea:</u> substracting a lower bound to the objective function

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We just proved that we can suppose  $n, p \le k \Rightarrow k$  is a too large parameter <u>Idea:</u> substracting a lower bound to the objective function Let  $\mathcal{B}$  be the maximum number of bad dies in a set

 $\Rightarrow$  parameter  $\zeta_{\mathcal{B}} = k - \mathcal{B}$ 



- Input: *m* sets of *n* wafers (*p*-dimensional binary vectors)
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#### Theorem

There is an exact algorithm solving the problem in  $O^*(4^{\zeta_B \log(n)})$  time.

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Simple, but somehow tight, because:

• W[2]-hard parameterized by  $\zeta_{\mathcal{B}}$  only

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- W[2]-hard parameterized by  $\zeta_{\mathcal{B}}$  only
- no  $2^{o(\zeta_{\mathcal{B}}) \log(n)}$  nor  $2^{\zeta_{\mathcal{B}}o(\log(n))}$  under *ETH*

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- no  $2^{o(k)}$  (and thus no  $2^{o(\zeta_{\mathcal{B}})}$ ) for fixed *n* under *ETH*.

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Reduction from HITTING SET:

ground set = 
$$\{1, 2, 3, 4, 5\}$$
  
instance =  $\{1, 3, 4\}$ ,  $\{2, 3, 4\}$ ,  $\{2, 3, 5\}$ ,  $\{1, 4, 5\}$ 

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- Output: *n* stacks (of *m* wafers each)
- $\overline{\text{Goal}}$ : obtain at most k bad dies in total

Reduction from HITTING SET:



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# Lower bounds

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Reduction from HITTING SET:

ground set =  $\{1, 2, 3, 4, 5\}$ instance =  $\{1, 3, 4\}, \{2, 3, 4\}, \{2, 3, 5\}, \{1, 4, 5\}$ 



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#### Theorem [Lokshtanov, Marx, Saurabh, '11]

Assuming ETH, no  $O^*(2^{o(k \log(k))})$  algorithm for HITTING SET where the goal is to find a hitting set of size k in an instance where the ground set is of size  $k^2$ 

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 $\Rightarrow$  no  $O^*(2^{o(\zeta_{\mathcal{B}})\log(n)})$  nor  $O^*(2^{\zeta_{\mathcal{B}}o(\log(n))})$  for our problem, under *ETH*.

## Summary of the results

Positive results	Negative results
<i>O</i> ( <i>k</i> <sup>2</sup> <i>m</i> ) kernel	no $p^{O(1)}$ kernel unless $NP \subseteq coNP/poly$
$O^*(4^{\zeta_{\mathcal{B}} \log(n)})$ algorithm	$W[2]$ -hard for $\zeta_{\mathcal{B}}$ only no $2^{o(\zeta_{\mathcal{B}})\log(n)}$ nor $2^{\zeta_{\mathcal{B}}o(\log(n))}$ under ETH no $2^{o(k)}$ for fixed <i>n</i> under ETH
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$O^*(d^{\zeta_ ho})$ algorithm for $n=2$	NP-hard for $\zeta_p=0$ and fixed $n\geq 3$

Open questions:

- algorithm in  $O^*(2^k)$ ? (*n* part of the input)
- polynomial kernel parameterized by k only ?

Thank you for your attention !